

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 2-2005)

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

200311439-3

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/553561

INTERNATIONAL APPLICATION NO.  
PCT/EP2004/004538

INTERNATIONAL FILING DATE  
29 April 2004

PRIORITY DATE CLAIMED  
30 April 2003

TITLE OF INVENTION  
AUTHENTICATION METHOD AND SYSTEM

APPLICANT(S) FOR DO/EO/US

Attila HARASZTI, Laszlo MARSOVSZKY, Gyula KATONA, Tibor NEMETZ, Miklos DEZSO, Laszlo CSIRMAZ, Odon PINTER, and Odon FARKAS

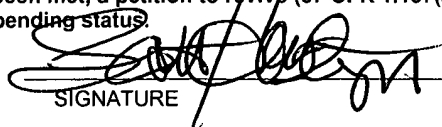
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 200311439-3 US
23. ☐ Other items or information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/553561</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/004538</b>		ATTORNEY'S DOCKET NUMBER <b>200311439-3</b>	
The following fees are submitted:				<b>CALCULATIONS</b>	<b>PTO USE</b>
24. <input checked="" type="checkbox"/> Basic national fee .....		<b>\$300</b>		<b>\$</b>	<b>\$300.00</b>
25. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). ....		<b>\$100</b>		<b>\$</b>	<b>\$200.00</b>
All other situations. ....		<b>\$200</b>			
26. <input checked="" type="checkbox"/> Search fee .....					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....		<b>\$100</b>			
International Search Report prepared and provided to the Office .....		<b>\$400</b>			
All other situations. ....		<b>\$500</b>		<b>\$</b>	<b>\$500.00</b>
<b>TOTAL OF 24, 25 and 26 =</b>				<b>\$</b>	<b>\$1,000.00</b>
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE	
31 - 100 =	0 /50 =	0		x \$250.00	<b>\$</b> <b>\$0.00</b>
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$</b>	<b>\$130.00</b>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	29 - 20 =	9	x \$50.00	<b>\$</b>	<b>\$450.00</b>
Independent claims	5 - 3 =	2	x \$200.00	<b>\$</b>	<b>\$400.00</b>
MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00				<b>\$</b>	<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$</b>	<b>\$1,980.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<b>\$</b>	<b>\$0.00</b>
<b>SUBTOTAL =</b>				<b>\$</b>	<b>\$1,980.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b>	<b>\$0.00</b>
<b>TOTAL NATIONAL FEE =</b>				<b>\$</b>	<b>\$1,980.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				<b>\$</b>	<b>\$0.00</b>
<b>TOTAL FEES ENCLOSED =</b>				<b>\$</b>	<b>\$1,980.00</b>
				<b>Amount to be</b>	<b>\$</b>
				<b>Amount to be</b>	<b>\$</b>
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>08-2025</b> in the amount of <b>\$1,980.00</b> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>08-2025</b> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:					
<b>Hewlett-Packard Development Company</b> <b>Intellectual Property Administration</b> <b>P.O. Box 272400</b> <b>Fort Collins, CO 80527-2400</b>				 SIGNATURE <b>Scott A. Horstemeyer</b> NAME <b>34,183</b> REGISTRATION NUMBER	

10/553561

JC20 Rec'd PCT/PTO 19 OCT 2009 PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: **Haraszti, et al.**

For: **Authentication Method and System**

**CERTIFICATE OF EXPRESS MAIL**

Mail Stop PCT (DO/EO/US)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard  
Transmittal Letter to the US Designated Office  
(DO/EO/US) (2 Pages)  
Copy of Published International Application  
Substitute Patent Application Consisting of:  
17 Pages of Specification  
7 Pages of Claims (Claims 1-29)  
1 Page of Abstract  
6 Pages of Formal Drawings (Figs. 1-10)  
Preliminary Amendment  
Annotated Version of Substitute Patent Application  
Information Disclosure Statement, Form 1449  
4 Prior Art Documents  
Declaration and Power of Attorney (Unexecuted)  
Copy of International Search Report

Further, the Commissioner is authorized to charge Deposit Account No. 08-2025 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 08-2025.

Respectfully submitted,

  
Scott A. Horstemeyer, Reg. No. 34,183

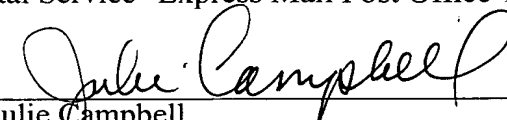
HEWLETT-PACKARD DEVELOPMENT COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

Docket No: **200311439-3**

I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service **"EXPRESS MAIL POST OFFICE TO ADDRESSEE"** service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To Addressee" Package # **EL558412012US**.

Date: Oct. 19, 2005

  
Julie Campbell